ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:	)	
	)	
Mark Christopher Hotton, CRD No. 2346843,	)	**Consent Order**
	)	
Respondent.	)	**File No. 14115**
	)	

WHEREAS, Respondent Mark Christopher Hotton, CRD No. 2346843, was a broker-dealer agent registered with the Division pursuant to S.C. Code Ann. § 35-1-402 between February of 1994 and May of 2012; and

WHEREAS, on or about August 20, 2013, the Financial Industry Regulatory Authority ("FINRA"), under Disciplinary Proceeding No. 2009017408101, barred the Respondent from associating with any FINRA member in any capacity; and

WHEREAS, the Securities Commissioner of the State of South Carolina may, pursuant to S.C. Code Ann. § 35-1-412(c), issue an order barring a respondent from engaging in the securities business in the State of South Carolina, if, *inter alia*, such respondent has been barred by FINRA or another self-regulatory organization; and

WHEREAS, on or about June 22, 2015, the Securities Division of the Office of the Attorney General (the "Securities Division") issued a Rule to Show Cause (the "Rule to Show Cause") directing the Respondent to show cause why he should not be barred from engaging in the securities business in the State of South Carolina; and

WHEREAS, the Respondent admits the Commissioner's jurisdiction over him and the subject matter of this proceeding; and

WHEREAS, the Commissioner finds the remedies in this Consent Order both appropriate and in the public interest for the protection of the investors and the capital markets of the State of South Carolina;

NOW, THEREFORE, it is hereby **ORDERED**, and the Respondent expressly consents and agrees that:

A. The Respondent is hereby barred from engaging in the securities business in the State of South Carolina;

Upon execution by the Commissioner, this Order resolves Administrative Proceeding 14115 as to the Respondent.

This Order should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to investors as a result of the Respondent's participation in the activities described in the Rule to Show Cause, or (iii) other causes of action that may result from activities of the Respondent not detailed therein or which may hereafter arise.

IT IS SO ORDERED this 16 day of December, 2015.

By: Alan Wilson
The Honorable Alan Wilson
Securities Commissioner
State of South Carolina

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WE CONSENT:

Securities Division of the Office of the Attorney General:

By: _____ Date: 12/31/2015

Ian P. Weschler
Assistant Attorney General

Respondent Mark C. Hotton, CRD No. 2346843:

By: _____ Date: 12/15/15

Mark C. Hotton