ADMINISTRATIVE PROCEEDING BEFORE THE SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	1
	í	CONSENT ORDER
Nicholas Joseph Lopez, Jr. (d/b/a	Ś	
NC Capital Investment, LLC, d/b/a	ý	File No. 20173645
LowCountry Apps, LLC))	1 1
) .	
Respondent.)	

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division"), pursuant to authority granted in the South Carolina Uniform Securities Act of 2005 (the "Act"), S.C. Code Ann. § 35-1-101 et. seq. (Supp. 2016), initiated an investigation into certain activities by Nicholas Joseph Lopez, Jr. ("Lopez" or the "Respondent"); and

WHEREAS, in connection with its investigation, the Division determined that certain acts of the Respondent constituted violations of the Act, and, on or about May 25, 2018, issued an Order to Cease and Desist against the Respondent for the alleged violations; and

WHEREAS, prior to a hearing on the matter, the parties spoke and agreed to settle the matter based on the Respondent's acceptance of and agreement to certain remedies, as set forth below; and

WHEREAS, the Respondent acknowledges his desire to resolve the Order to Cease and Desist by Consent Order rather than by exercising his right to a formal hearing; and

WHEREAS, the Respondent agrees to the investor protections and remedies set forth below, this matter now comes before me for acceptance, if appropriate; and

WHEREAS, I, as Securities Commissioner for the State of South Carolina, having jurisdiction over the Respondent and the subject matter of these proceedings, find the remedies that the parties have both proposed and agreed to, as set forth in this Consent Order, both appropriate and in the public interest, for the protection of the investors and the capital markets of the State of South Carolina;

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NOW, THEREFORE, it is HEREBY ORDERED, and Respondent Nicholas Joseph Lopez, Jr., expressly consents and agrees that:

- A. Effective as of the date of the Order to Cease and Desist issued in this matter, Nicholas Joseph Lopez, Jr. ceased engaging in securities offers, sales, and all other types of securities activity in or from South Carolina; and
- B. Effective immediately, Nicholas Joseph Lopez, Jr. is permanently barred from participating in any aspect of the securities industry in or from South Carolina; and
- C. Respondent Nicholas Joseph Lopez, Jr. Has and does confess judgment in the following amounts to the following persons in connection with this matter:
 - a. Ms. Leslie Brown (referred to in the Order to Cease and Desist as "Investor B") in the amount of \$42,416.66 and
 - b. Ms. Traven Cunningham (referred to in the Order to Coase and Desist as "Investor A") in the amount of \$25,000; and sixty (60) !
- D. Respondent Nicholas Joseph Lopez, Jr. will, within thirty (20)-days of the date of execution this Order, pay a civil perfalty in the amount of ten thousand dollars (\$10,000) to the Division to help defray investigative and other costs associated with pursuing the matter.

Upon execution by the Securities Commissioner, this Order resolves Administrative Proceeding 20173645.

All parties to this Consent Order understand and agree that this Consent Order does not and should not be interpreted to waive (i) any criminal cause of action or (ii) any private cause of action based on the underlying facts.

IT IS SO ORDERED this _____ day of

2018.

Securities Commissioner

State of South Carolina

I CONSENT:

Nicholas Joseph Lopez, Jr.

Date:

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WE CONSENT:

Securities Division Date: 01018

By: Tracy Meyers Assistant Deputy Attorney General

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