

ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	
)	
Jonathan W. Brooks)	SUMMARY SUSPENSION OF
and J. Brooks Financial, Inc.,)	INVESTMENT ADVISER, INVESTMENT
)	ADVISER REPRESENTATIVE AND
)	BROKER-DEALER AGENT
)	REGISTRATIONS
)	
<u>Respondents.</u>)	File Number 12017

The Securities Division of the Office of the Attorney General (the "Division"), being authorized and directed to administer the provisions of Chapter 1, Title 35, Code of Laws of South Carolina, the South Carolina Uniform Securities Act of 2005 (the "Act"), upon due consideration of the subject matter contained in a related administrative proceeding under the Act and having reason to believe that the Respondents violated certain provisions of the Act and the Rules promulgated thereunder, does hereby notify Respondents that, pursuant to Section 35-1-412(f) of the Act, their respective registrations as investment adviser, investment adviser representative and broker-dealer agent have been and are SUMMARILY SUSPENDED. This suspension will remain in effect pending resolution of the related proceeding.

In accordance with Section 35-1-412(f), NOTICE is hereby given that Respondents may request a hearing on the summary suspension by giving written notice to Thresechia Navarro, Post Office Box 11549, Rembert C. Dennis Building, Columbia, South Carolina 29211-1549. In the event such a hearing is requested, within fifteen (15) business days after the receipt of a written request the matter will be set down for hearing. Respondents may then appear, with or without the assistance of an attorney, at the hearing to present testimony, evidence and argument relating to the matters contained herein. In the event such written notice is not received, this Order remains in effect until it is modified or vacated by the Securities Commissioner or his designee.

BE ADVISED THAT, pursuant to Section 35-1-508 of the Act, a willful violation of the Order may be punishable upon conviction by a fine, imprisonment, or both, in addition to any civil or administrative remedies imposed upon Respondent.

Entered, this the 25th day of February, 2013.

SOUTH CAROLINA OFFICE OF THE
ATTORNEY GENERAL

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